#### Manipal Academy of Higher Education

### Impressions@MAHE

**University Departments** 

MAHE Student Work

Spring 5-1-2021

## Locating US in the Indo-Pacific Region: Implications for China

Kartikeya Gupt

Follow this and additional works at: https://impressions.manipal.edu/maheud



# LOCATING US IN THE INDO-PACIFIC REGION: IMPLICATIONS FOR CHINA



### **KARTIKEYA GUPT**

Department of Geopolitics and International Relations

Manipal Academy of Higher Education

Manipal June 2021

## Manipal Academy of Higher Education Department of Geopolitics and International Relations

## LOCATING US IN THE INDO-PACIFIC REGION: IMPLICATIONS FOR CHINA

A Dissertation Submitted to the Department of Geopolitics and International Relations in Partial Fulfilment for Master's Degree in Geopolitics and International Relations

Supervisor
DR. MONISH TOURANGBAM

By KARTIKEYA GUPT

**June 2021** 



Date: 28 June 2021

#### DECLARATION

I declare that the dissertation entitled "Locating US in the Indo-Pacific Region: Implications for China" submitted by me for the award of the degree of Master of Arts in Geopolitics and International Relations of Manipal Academy of Higher Education is my own work. The dissertation has not been submitted for any other degree of this University or any other University.

(Kartikeya Gupt)

#### CERTIFICATE

I recommend that this dissertation be placed before the examiners for evaluation.

(Dr. Nanda Kishor M S)

Associate Professor and Head

(Dr. Monish Tourangbam)

Supervisor

#### ACKNOWLEDGEMENT

I would first like to thank my guide, Dr. Monish Tourangbam, under whose supervision this dissertation has been carried out. I am deeply indebted to him not only for his generous guidance and wise counsel, but for his patience and encouragement throughout the writing of this dissertation.

I would like to thank my former Head of the Department of the Geopolitics and International Relations, Dr. Arvind Kumar, whose expertise was invaluable in formulating the research objectives and methodology required for the dissertation. His insightful feedback pushed me to sharpen my thinking and brought my work to a higher level.

I would also like to thank the current Head of the Department of the Geopolitics and International Relations, Dr. Nanda Kishor M S. and the entire faculty for their assistance and support.

I am highly grateful to my parents for enabling me to reach my goal and also for their numerous sacrifices.

A deep sense of gratitude to Pooja V for I could not have completed this dissertation without her support.

I reveal my appreciation for Dr. Ramu CM, Dr. Dhanasree Jayaram, and Manohar Bhat who provided stimulating discussions as well as happy distractions to rest my mind outside of my research. Many others, especially the names of my faculty and peers at college could be mentioned, and I hope and trust they will understand their omission as nothing more than the quest for brevity.

Finally, I am thankful to the Almighty God whose showering of grace and love has led to this accomplishment and I rest all achievements unto his name.

#### **ABBREVIATIONS**

ACSA Acquisition and Cross Servicing Agreement

AIFV Armoured Infantry Fighting Vehicle

ALCM Air Launched Cruise Missile AOR Area of Responsibility

AIIB Asian Infrastructure Investment Bank

AIP Air Independent Propulsion

ANZUS Australia, New Zealand, United States Security Treaty

APC Armored Personnel Carriers
ARIA Asia Reassurance Initiative Act

ASAT Anti-Satellite Weapons
ASCM Anti-Ship Cruise Missile
ASW Anti-Submarine Warfare

BCIM Bangladesh-China-India-Myanmar Economic Corridor

BECA Basic Exchange and Cooperation Agreement

BRI Belt and Road Initiative

BUILD Better Utilization of Investment Leading to

Development

CARAT Cooperation Afloat Readiness and Training

CCP Chinese Communist Party
CENTO Central Treaty Organization
CNO Chief of Naval Operations

COMCASA Communications Capability and Security Agreement

CPEC China Pakistan Economic Corridor

CPX Command Post Exercise

C4ISR Command, Control, Communications, Computer,

Intelligence, Surveillance, and Reconnaissance

DFI Developmental Financial Agency

DoD Department of Defense EAS East Asia Summit

EAEC East Asia Economic Caucus EASI East Asian Strategic Initiative

EDCA Enhances Defense Cooperation Agreement
EDGE Enhancing Development and Growth
EMALS Electromagnetic Aircraft Launch System

EU European Union

FTX Field Training Exercise
GNP Gross National Product

GSOMIA General Security of Military Information Agreement

HADR Humanitarian Aid and Disaster Relief

HELE Highly Efficient Low Emission HGV Hypersonic Glide Vehicle

IAMD Integrated Air and Missile Defence

IDFC International Development Finance Corporation

IOR Indian Ocean Region

IORAIndian Ocean Rim AssociationIPSRIndo-Pacific Strategy Report

ISR Intelligence, Surveillance, and Reconnaissance
ITAN Infrastructure Transactions and Assistance Network

JSDF Japan Self Defense Forces

JUSEP Japan-United States Strategic Energy Partnership

JUMPP Japan-US-Mekong Power Partnership

LACM Land Attack Cruise Missile

LEMOA Logistics Exchange Memorandum of Agreement

LPD Landing Platform Dock

Manoeuvrable Re-entry Vehicle MaRV Maritime Domain Awareness **MDA** Missile Defense Review **MDR MSRI** Maritime Silk Road Initiative New Development Bank NDB **NDS** National Defense Strategy National Military Strategy NMS **NPR Nuclear Posture Review** NSS National Security Strategy

OBOR One Belt One Road

ODA Official Development Assistance

PLA People's Liberation Army

PLAAF People's Liberation Army Air Force
PLAGF People's Liberation Army Ground Force

PLAN People's Liberation Army Navy

PLARF People's Liberation Army Rocket Force

PPP Purchasing Power Parity
PRC People's Republic of China
QUAD Quadrilateral Strategic Dialogue

RAS Replenishment at Sea

RCEP Regional Comprehensive Economic Partnership

ROK Republic of Korea

SAGAR Security and Growth for All in the Region

SAM Surface-to-Air Missile SAR Synthetic Aperture Radar

SEATO Southeast Asia Treaty Organization
SFIP Indo-Pacific Strategic Framework

SSBN Nuclear Powered Ballistic-Missile Submarine
SSGN Guided-Missile Nuclear Attack Submarine

TDS Trilateral Strategic Dialogue

TTP Tactics, Techniques, and Procedures

UAV Unmanned Aerial Vehicle

UN United Nations

UNSC United Nations Security Council

US United States of America

United States Forces Japan
United States Forces Korea
US Support for Economic Growth in Asia
United States Agency for International Development
World Trade Organization USJ USK

**US-SEGA** 

**USAID** 

WTO

## TABLE OF CONTENTS

Contents	Page
Declaration	I
Acknowledgement	II
Abbreviations	III-V
Table of Contents	VI-VIII
List of Figures/Tables.	IX
Abstract	X-XI
CHAPTER - 1: INTRODUCTION	1-13
1.1. Background	2-4
1.2. Survey of Literature	4-9
1.3. Research Gap	9
1.4. Objectives of the Study	10
1.5. Significance of the Study	10-11
1.6. Relevance of the Study	11
1.7. Methodology Used in the Study	12
1.8. Limitations and Delimitations of the Study	12-13
CHAPTER - 2: US STRATEGY IN THE INDO-PACIFIC: THEORETICAL	
AND CONCEPTUAL UNDERPINNINGS	14-37
2.1. Grand Strategy	15-19
2.2. Realism.	19-26
2.2.1. Classical Realism	20-22
2.2.2. Structural Realism	22-26
2.2.2.1. Defensive Realism	24-25
2.2.2.2. Offensive Realism.	25-26
2.3. Balance of Power.	26-28
2.4. Balance of Threat.	28-30
2.5. Neoliberalism.	30-32
2.6. Constructivism.	32-35
2.7. Sea Power Theory	35-37
CHAPTER - 3: THE RISE OF CHINA AND ITS IMPLICATIONS FOR US	
STRATEGY IN THE INDO-PACIFIC	38-56
3.1. China's Interests, Ambitions, and Behaviour towards World Order	39-45
3.1.1. Core Interests	40-41
3.1.2. Differing Views on Strategic Intentions	41-43
3.1.3. Behaviour towards International Institutions and Norms	43-45
3.2. China's Economic Rise and the Indo-Pacific Region	45-47
3.3. China's Military Modernization	47-53
3.4. Rise of China and Implications for US Grand Strategy	53-56

CHAPTER - 4: US STRATEGIC APPROACHES, POWER PROJECTION,	
AND POLITICAL ARRANGEMENTS IN THE INDO-PACIFIC:	
IMPLICATIONS FOR CHINA	
	57-97
4.1. Evolution of US Grand Strategy in the Pacific Theatre	58-68
4.2. Strategic Framework for the Indo-Pacific	68-91
4.2.1. Key Documents	68-75
4.2.1.1. 2017 National Security Strategy	68-69
4.2.1.2. 2018 National Defense Strategy	69-70
4.2.1.3. 2018 Nuclear Posture Review	70-71
4.2.1.4. 2019 Missile Defense Review	71
4.2.1.5. 2019 Indo-Pacific Strategy Report	71-73
4.2.1.6. 2019 A Free and Open Indo-Pacific:	
Advancing a Shared Vision Document	73-74
4.2.1.7. 2018 US Strategic Framework for the Indo-Pacific	
Document	74-75
4.2.2. US Power Projection in the Indo-Pacific Region	75-81
4.2.3. US Politico-Security and Politico-Economic Arrangements	75 01
in the Indo-Pacific Region	81-91
4.2.3.1. Politico-Security Arrangements in the	01-71
Indo-Pacific Region	81-87
	82-84
4.2.3.1.1. Bilateral Arrangements	84-85
4.2.3.1.2. Trilateral Arrangements	
4.2.3.1.3. Multilateral Arrangements	85-87
4.2.3.2. US Politico-Economic Arrangements in the	07.01
Indo-Pacific Region	87-91
4.2.3.2.1. US Support for Economic Growth in	0= 00
Asia	87-88
4.2.3.2.2. Asia Enhancing Development and	
Growth through Energy	88-89
4.2.3.2.3. Japan-United States Strategic Energy	
Partnership	89
4.2.3.2.4. Better Utilization of Investment Leading	
to Development	89-90
4.2.3.2.5. Asia Reassurance Initiative Act	90-91
4.3. Implications for China	91-97
4.3.1. Strategic Pressure	91-94
4.3.2. Geo-economic Implications	94-95
4.3.3. Security Implications	95-97
• •	
CHAPTER - 5: EMERGING INDIA-US-CHINA EQUATION IN THE INDO-	
PACIFIC REGION	98-116
5.1. Confluence of Strategic Interests between India and the US	99-108
5.1.1. Evolving Foreign Policy Orientation of India	100-103
5.1.2. US Strategy towards India	103-106
5.1.3. China as a Concern.	106-108
U.I.U. CIMIL W. W. COMPETHINININININININININININININININININININ	100 100

5.2. Maritime Partnership	108-111
5.3. Limits of India-US Partnership	111-113
5.4. Implications for China	114-116
CHAPTER - 6: CONCLUSION	117-124
REFERENCES	i-viii

## LIST OF FIGURES/TABLES

Figures	Page
Figure 4.1. USINDOPACOM Area of Responsibility	77
Figure 4.2. US Troop Deployments in the Indo-Pacific Region	78
Tables	Page
Table 4.1. Bilateral and Multilateral Military Exercises involving the US in the Indo-Pacific Region	79-81

#### **ABSTRACT**

The theme "Locating US in the Indo-Pacific Region: Implications for China" explores the case for a comprehensive approach by the US in the Indo-Pacific region owing to China's rise plus the ramifications of its involvement for China. The study probes the strategies employed by the US as a key player in the Indo-Pacific region using multiple major theoretical approaches. A novel geostrategic reassessment of China and the US with implications for each other is subject to research. The study also deeply examines the regional security convergence with aspects of strategic balancing between the US and India generated by the common maritime challenge from China.

The dynamics of the global economy's centre of gravity is shifting from the west to east. If hard and soft power mirrors the flow of economic power, the source for global and political influence will be similarly shifting east in the coming years. Policy formulation for global governance then requires more inclusive engagement of the new and diverse major powers, rather than relying solely on the western nations as has been done prominently in the past century. The transition of international configuration of power has figured with contemporary debates on the relative decline of the US and the rise of China and India as Asian powerhouses exerting considerable influence in international affairs. Also, multilateralism has renewed the significance of diplomacy as the world heads towards a multipolar era in the twenty-first century. The US is cognizant of these changes and its implications for the liberal international order.

The Indo-Pacific has risen as a region of strategic significance in recent times. The maritime geopolitical construct gains salience as the new centre of global economic development owing to the security assurance of global commons and the augmentation of commercial maritime activity. The region is characterized as the most dynamic geopolitical zone, with the US and China as the two dominant powers engaged in an emerging rivalry. In addition, the Indo-Pacific is dotted by the presence of major maritime powers such as India, Japan, and Australia with their own regional spheres of influence. In view of contemporary geopolitical dynamism, the Indo-Pacific regional security landscape has witnessed the emergence of newer politico-security arrangements besides the post-World War II US-led network of security alliances.

The US has played a considerable role in ensuring balance-of-power in the Western Pacific over the past century, prompted by its grand strategy to secure national interests in the region. China's military build-up, its economic rise, and assertive territorial claims has caused concerns among nations in the Indo-Pacific who look towards the US to stabilize the region in the contemporary era. The US regional strategy for the Indo-Pacific initiated with the Asia pivot strategy. This strategy is a follow up to the major US involvement in Afghanistan and Iraq in the first decade of the twenty-first century. The Asia pivot explicitly mentioned the renewed focus of the US on the maritime regions of the Western Pacific and the Eastern Indian Ocean in the policy documents and official speeches of the Obama administration. The Trump administration carried forward the momentum by envisioning the "Free and Open Indo-Pacific" concept grounded in a rules-based order for the maritime region and expanding the nation's engagement with other major maritime powers in the Indo-Pacific region.

China is wary of the latest US approaches to the region and perceives them as a containment strategy to restrain its economic progress. The US-China relations have hit the lowest point in decades since Nixon's visit to China nearly fifty years ago. However, China's response to the US strategy has been sophisticated with its intention to not initiate a new Cold war. Though China has ramped its military modernization to secure its economic interests and is asserting new territorial claims, it is avoiding any serious conflicts with the US. The Indo-Pacific strategy of the US provides a counterbalance to China's rise to ensure regional peace and stability and maintain its hegemony. The implementation of this strategy carries geo-strategic, geo-economic, and security implications for China. Of particular concern to China is the strategic leverage held by the US and new partners like India in the maritime domain and the potential to block trade and transportation of essential resources. The emerging partnership between the US and India is of consequential significance for China's regional interests. Also, China's bilateral relations and negotiations with regional nations is affected by the relationship they share with the US, which complicates its diplomatic efforts. Lastly, China's push for a Sino-centric architecture in Asia is being challenged by the efforts of US and its allies and partners. The US is keen to adopt competitive coexistence with China to preserve regional harmony in the Indo-Pacific region, however such a future relies on Chinese behaviour and actions in the Indo-Pacific region.